



AT ATTORNEY DOCKET NO.
632-P001US

RECEIVED
JUL 07 2000
GROUP 2700

PATENT
APPLICATION NO. 09/450,308

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Stuart C. Maudlin

Serial No.: 09/450,308

Filing Date: November 29, 1999

Art Unit: 2768

Title: MAUDLIN-VICKERY
AUCTION METHOD AND
SYSTEM MAXIMIZING
SELLER REVENUE AND
PROFIT

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail with sufficient postage in an envelope addressed to the Assistant Commissioner of Patents, Washington, D.C. 20231, on the date indicated below.

Signature

Printed

Date

RECEIVED

JUL 07 2000

GROUP 2700

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)

Pursuant to the provisions of 37 CFR §§ 1.56, 1.97 and 1.98, and MPEP 609, Applicant submits herewith copies of the patents and other documents listed below and on the attached Form PTO-1449 "Information Disclosure Citation."

PATENTS

U.S. Patent Document

Applicant

Date of Publication

4,903,201

Wagner

February 20, 1990

5,794,207

Walker et al.

August 11, 1998

5,835,896

Fisher et al.

November 10, 1998

5,845,265

Woolston

December 1, 1998

5,895,454

Harrington

April 20, 1999

5,905,975

Ausubel

May 18, 1999

ATTORNEY DOCKET NO.
21632-P001US

PATENT
APPLICATION NO. 09/450,308

U.S. Patent Document

5,924,082

Applicant

Silverman et al.

Date of Publication

July 13, 1999

FOREIGN PATENTS

Foreign Patent Document No.

NONE

Inventor

Publication Date

OTHER DOCUMENTS

Author	Title	Publication Date	Pages
	www.openipo.com		1
	www.openipo.com/offerings/auctions/ openipo/index.html		2
	www.cyberinvest.com/glossary/dutchauction .html		1

REMARKS

Consideration by the Examiner of each of the documents listed herein and on Form PTO-1449 is respectfully requested. Applicant respectfully requests that a copy of PTO-1449, as considered and initialed by the Examiner, be returned to the undersigned with the next Official Communication.

The filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made. 37 CFR § 1.97(g). Furthermore, the filing of this Information

ATTORNEY DOCKET NO.
21632-P001US

PATENT
APPLICATION NO. 09/450,308

Disclosure Statement shall not be construed to be an admission that the information cited in this Statement is, or is considered to be, prior art, analogous art, or material to patentability of this application, but the information has been cited to make it clear beyond all doubt that Applicant's duty of disclosure has been complied with. 37 CFR § 1.97(h).

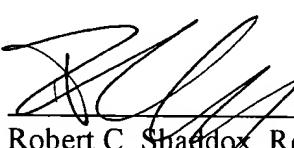
Applicant hereby submits that the claims of Applicant's above-referenced patent application are patentably distinguishable from these references.

This Information Disclosure Statement is being filed before the mailing date of the first Office Action.

It is believed that no fees are due; however, the Commissioner of Patents and Trademarks is hereby authorized to charge any fees relating to this Information Disclosure Statement under 37 CFR § 1.17 to Deposit Account No 23-2426 of WINSTEAD SECHREST & MINICK P.C.

Respectfully submitted,

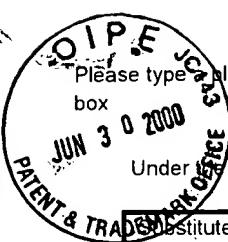
Date: 6/30/00



Robert C. Shaddox, Registration No. 34,011

WINSTEAD SECHREST & MINICK P.C.
910 Travis, Suite 2400
Houston, Texas 77002-5895
Telephone: 713/650-2764
Facsimile: 713/650-2400

ATTORNEYS FOR APPLICANT



Please type a plus sign (+) inside this box

+

PTO/SB/08A (10-96)

Approved for use through 10/31/99. OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

B control

Under the Paperwork Reduction Act of 1995, no persons are required to a collection of information unless it contains a valid OMB control number.

U.S. Patent and Trademark Office
Institute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 2 Attorney Docket Number 21632-P001U

U.S. PATENT DOCUMENTS

~~RECEIVED~~

JUL 07 2000

GROUP 2700

FOREIGN PATENT DOCUMENTS

Examiner Signature _____ Date Considered _____

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard

ST.3). * For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. * Kind of document by the appropriate symbols as indicated on the document under WIPO Standard St. 16 if possible. * Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Please type a plus sign (+) inside this box →

1

PTO/SB/08B (10-96)

Approved for use through 10/31/99. OMB 0651-0031

Approved for use through 10-2013. GMD 8601-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to a collection of information unless it contains a valid OMB control number.

<p>Substitute for form 1449B/PTO</p> <p>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</p> <p><i>(use as many sheets as necessary)</i></p>		<i>Complete if Known</i>	
		Application Number	09/450,308
		Filing Date	November 29, 1999
		First Named Inventor	Stuart C. Maudlin
		Group Art Unit	2768
		Examiner Name	unknown
Sheet	2	of	2
Attorney Docket Number			21632-P001US

NON PATENT LITERATURE DOCUMENTS

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

+ *EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Unique citation designation number. 2 Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.